

Lecture Notes in Mechanical Engineering

Numan M. Durakbasa  
M. Güneş Gençyılmaz *Editors*

# Digital Conversion on the Way to Industry 4.0

Selected Papers from ISPR2020,  
September 24–26, 2020 Turkey

 Springer

# Lecture Notes in Mechanical Engineering

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Numan M. Durakbasa · M. Güneş Gençyılmaz  
Editors

# Digital Conversion on the Way to Industry 4.0

Selected Papers from ISPR2020, September  
24–26, 2020 Online - Turkey

 Springer

*Editors*

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# Foreword

Dear Colleagues and Friends,

It is a great pleasure to welcome you to the “**International Symposium for Production Research - ISPR 2020**”, Antalya/Turkey, from 24–26 September 2020, with the overall theme of “Digital Conversion on the way to Industry 4.0”. As in all previous years we are particularly pleased with our continued successful collaboration with Society for Production Research, İstanbul, Turkey, in the organization of this event.

The purpose of the symposium is to bring together researchers, scientists and leading world experts at universities, companies, institutions, communities, associations and societies in the domain of interest from around the world to share ideas, experiences, research results and vision on production and operations management and technology. This organization shall also provide a forum for experts and professionals to discuss the challenges, opportunities and advance innovation of the theme of this year’s symposium.

We hope that this “on-line” gathering will provide the participants an opportunity for scholarly exchange of ideas and questions despite the absence of physical presence and face-to-face discussions. We wish you all a productive symposium and we sincerely hope that this pandemic will be behind us soon and that we can all go back to our regular format of conferences and symposia in the near future.

September 2020

Kurt Matyas  
Ayhan Toraman

# Preface

This book consists of the selected papers presented at the **20th International Symposium for Production Research (ISPR2020)** that would have been held from September 24–26, 2020, at a beautiful resort in Antalya, Turkey. Unfortunately, this event, like many others in all corners of the world, had to be converted to a virtual symposium due to the COVID-19 pandemic. Thanks to the magnificent improvements in Internet technologies we could easily switch our face-to-face meetings to virtual events with the aid of a user-friendly software program. This technical support was provided generously by the Vice-Rectorate for Digitalization and Infrastructure of TU Wien and our heartfelt thanks and gratitude go to Univ. Prof. Dipl. -Ing. Dr.techn. Dr.h.c.mult. Josef Eberhardsteiner, Vice-Rector.

This symposium was organized by Society for Production Research İstanbul, Turkey, and TU Wien, Austria, for the fourth year in a row.

The generic theme of “**Industry 4.0**” was first adopted in the symposium held in 2016 and maintained in the following four symposiums in 2017, 2018, 2019, and 2020 but each time with an updated emphasis on the relevant developments and progress on the various aspects of this “Fourth Industrial Revolution.” In other words, the symposiums maintained the same main theme from 2016 but studied a different aspect of production systems and production management, in the subsequent years with the purpose of drawing the attention of the researchers to the cases of Industry 4.0 applications of this new industrial era.

The world of science and technology is under increasing influence of the requirements of Industry 4.0. Transition to a new era seems inevitable for every sector of industry. Given the importance of this theme, ISPR2020 hosted numerous distinguished speakers from both the academia and the industry to hear their views on the applications of the Industry 4.0 on the various components of production systems.

A total of over 350 participants attended this year's symposium—academics, practitioners, and scientists from 17 countries, 10 invited speakers made invaluable contributions to the symposium. The symposium programme included keynote addresses (opening/closing session), breakout sessions and workshop discussions, a welcome concert, and a closing session on the final day.

This book contains 83 refereed selected papers in 22 categories shown in the contents of the book.

We would like to express our gratitude to Vice-Rector Prof. Kurt Matyas, also the Honorary Chairman of the Scientific Committee of this symposium for his leadership and generous support. We also thank to Prof. Dr. Ayhan Toraman, Past President of Society of Production Research and Honorary Chairman of the Scientific Committee; Prof. Christian Bauer, Dean of the Faculty of Mechanical and Industrial Engineering; Prof. Detlef Gerhard, former Dean of the Faculty of Mechanical and Industrial Engineering; and Prof. Friedrich Bleicher, Head of the Institute for Production Engineering and Photonic Technologies for their interest and support for this symposium.

We would like to thank all the keynote and invited speakers whose contributions enhanced the success of the symposium.

In organizing this event, our colleagues in Vienna and İstanbul contributed endless hours of hard work, energy and wisdom to make this event the success it was. On the Vienna side, our sincere thanks go to the staff of the Research Group Production Metrology and Adaptronic Systems of the Institute for Production Engineering and Photonic Technologies, in particular, to Dipl.-Ing. Erol Güçlü.

On the İstanbul side, we are very grateful to Assis. Prof. Dr. Nühket Tunçbilek who were involved in every aspect of the organization from the very beginning. Our special thanks go to Ms. Tuğçe Beldek and Mr. Aziz Kemal Konyalıoğlu, our young colleagues, research assistants, and Ph.D. candidates at İstanbul Technical University and Galatasaray University and Comp. Eng. Tufan Tunçbilek who prepared and managed virtual event together with Dipl. Ing. Erol Güçlü perfectly and, also research assistants at TU Wien, for their hard and dedicated work. We would like to thank to Prof. Dr. Hatice Camgöz Akdağ and Assist. Prof. Dr. Zeynep Girgin who moderated the symposium successfully.

We would like to express our gratitude to the board members of the Society for Production Research in İstanbul for their strong support and dedication to make the symposium a success.

Our very special thanks go to our colleagues, the participants of this symposium. Undoubtedly, they were the core component of this organization.

We would like to recognize and thank our dear colleagues who graciously accepted to join the honorary and scientific committees or who served as peers in this event.

Finally, no such event is possible without the generous support of patrons and sponsors. In this regard, we would like to thank Dr. Michael Ludwig, the Mayor of Vienna, for his continued generous support of this symposium series and to all the corporations and individuals who provided invaluable financial and intellectual contributions.

And last but not least, we are grateful to Ms. Petra Jantzen Editor from Springer Nature, for her competent guidance, professionalism, and patience.

M. Güneş Gençyılmaz  
Numan M. Durakbasa

# Key Technology Roadmap In Production of Future – Industry 4.0

Today, Industry 4.0 is spreading more and more worldwide to industrial companies and especially to SMEs. With a strong infrastructure and a rapid connection between the components of the entire factory, Industry 4.0 will introduce innovation and more robust, reliable, and sustainable production. Smart economic growth, which is based on high-quality training and on the promotion of research and innovation as well as the building of digital factories, is most crucial and central to the future developments. This will ensure a sustainable development which respects the ecology by using green technologies and which will improve the working environment thus creating new and better jobs for a wider population.

Comprehensive knowledge in the areas of market requirements, product and process development and design, intelligent metrology, and end-of-life management are important conditions to achieve rapid, agile, waste-free, and cost-effective production of innovative, customized complex products using next-generation materials. This will also protect the environment by making zero emissions and improve environmental sustainability and reduce the use of energy within the factory of futures.

Cyber-physical systems (CPS) improve resource productivity and efficiency and enable more flexible models of work organization. Companies that use CPS will have a clear advantage when it comes to recruiting the best employees since they can offer a better work–life balance. Augmented reality (AR) and multimodal interaction will be used to train the factory workers so that they will be able to deal with the complexity of cyber-physical production and enable new forms of collaboration by digital systems. The smart factory concept which includes the need for closer interaction with tele-operation and tele-presence as part of the process in networked production centers will spread to industrial companies worldwide.

The application of artificial intelligence (AI) in the production field has also imposed new technological demands. To meet the market demands in present and future global industrial world manufacturing enterprises of any kind and any size must be flexible and agile enough to respond quickly to product demand changes. With support of artificial intelligence and modern information technology, it is

possible to realize modern, cost-effective, customer-driven production considering the importance and basic role of integrated systems and advanced technology.

This new concept, according to Industry 4.0, can be developed on the basis of advanced production systems and multi-functional integrated factory model as well as extensive use of the IT, AI, CPS, simulation, virtual reality (VR), intelligent quality systems, automation, robotics, sophisticated metrology, and advanced engineering data exchange techniques.

September 2020

Numan M. Durakbasa  
M. Günes Gencyilmaz

# Organization

ISPR2020 was organized by TU Wien, Austria, and the Society for Production Research, Turkey. The symposium took place in Turkey.

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# Manufacturing Process Development for Thin Film Filaments as a New Product

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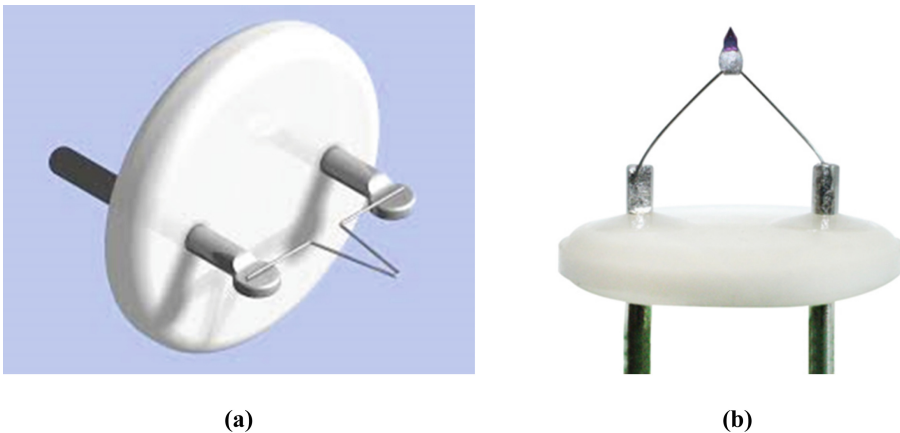
**Abstract.** Thin films of rare-earth hexaborides are promising for wear and corrosion protective, decorative, and thermionic coatings. Among many hexaborides available, due to its unique properties lanthanum hexaboride (LaB<sub>6</sub>) is used as a bright and long life thermionic electron source mostly in electron microscopes. Unlike single-crystal LaB<sub>6</sub> cathodes used today, LaB<sub>6</sub> thin-film coated metal filaments can be advantageous due to their simple design, easy installation, cost-efficient manufacturing, and less energy consumption. In the study, LaB<sub>6</sub> thin films were grown onto tantalum, molybdenum, and tungsten wire-substrates using magnetron sputtering. Tape-test was used on the film surface to determine the adhesion of the LaB<sub>6</sub> films to the substrates. The morphology, structure, and stoichiometry of tape-tested films were investigated by stereo microscopy, scanning electron microscopy (SEM) and X-ray photoelectron spectroscopy (XPS). Stereo microscopy and SEM analysis showed that the films were grown by magnetron sputtering have dense, fine columnar structure without peeling or flaking. In the XPS analysis of LaB<sub>6</sub>/W film it was observed that the film was oxidized and there were no peaks of any element other than lanthanum, boron, and oxygen found. The analysis shows that the composition of the film was LaB<sub>x</sub> coated over the tungsten (W) substrate at the deposition conditions.

**Keywords:** Thermionic coatings · Lanthanum hexaboride (LaB<sub>6</sub>) thin film filament · Magnetron sputtering (MS) · Scanning electron microscope (SEM) · Transmission electron microscope (TEM)

## 1 Introduction

Among many hexaborides available, lanthanum hexaboride has been accepted as the best electron emitter due to the combination of high chemical stability, low evaporation rate at high temperature, and low work function [1–5]. After the investigation of electron emitter properties of lanthanum hexaboride by Lafferty [5], LaB<sub>6</sub> rod cathode was first designed by Broers [5, 6]. It was suggested that LaB<sub>6</sub> cathodes are superior to tungsten cathodes in terms of brightness, service life and mechanical stability [6, 7].

Currently,  $\text{LaB}_6$  and  $\text{CeB}_6$  single-crystal cathodes are used in transmission electron microscopy (TEM), scanning electron microscopy (SEM), electron beam lithography, and X-Ray microanalysis, which offer high brightness and service life [1, 6, 8–10]. Fig. 1 presents the commercially available tungsten and single-crystal  $\text{LaB}_6$  cathodes. As can be seen from the figure, tungsten cathodes consist of hairpin filament when  $\text{LaB}_6$  cathodes consist of hairpin filament as well as a single crystal tip. From Table 1, it can be noted that  $\text{LaB}_6$  cathodes are superior to tungsten cathodes in terms of brightness and service life. Since operating temperature is one of the factors that affect service life,  $\text{LaB}_6$  cathodes are preferable due to their lower operating temperature [11]. However,  $\text{LaB}_6$  cathodes have a complicated design with various tip shapes (round, flat, sharp) and cone angles which increases the cost compared to tungsten cathodes. The cathodes are typically the main consumables in the electron microscopy operational costs. The tungsten,  $\text{LaB}_6$  and  $\text{CeB}_6$  cathodes are imported and their prices are 429 EUR (4.391 TL), 1.592 EUR (16.300 TL), and 4.600 EUR (47.111 TL), respectively. Turkey that has the largest boron resources in the world (73%) provides a motivation for development of high value-added boron products with innovative approach. For this purpose,  $\text{LaB}_6$  thin-film coated metal filaments are investigated here in this application since they are promising due to their potential in simple design, easy usage, and low operating energy and low cost with respect to the single crystal cathodes [11–13]. In this study, we aimed to grow lanthanum hexaboride thin films on tantalum, molybdenum, and tungsten wires to develop a manufacturing process for thin-film filaments. In order to analyse the adhesion of the  $\text{LaB}_6$  films to the substrates, tape-test was used on the film surfaces. Then, the morphology, structure, and stoichiometry of tape-tested films were investigated in terms of stereo microscopy, scanning electron microscopy, and X-ray photoelectron spectroscopy.



**Fig. 1.** Image of commercially available (a) tungsten cathode and (b)  $\text{LaB}_6$  cathode

Although  $\text{LaB}_6$  thin film cathodes appeared to be advantageous when they are used as thin film-based products, the studies have mostly focused on electron emission

**Table 1.** Comparison of LaB<sub>6</sub> CeB<sub>6</sub> and tungsten cathodes [10, 14]

	LaB <sub>6</sub>	CeB <sub>6</sub>	Tungsten
Brightness (A/m <sup>2</sup> -sr)	10 <sup>3</sup>	10 <sup>3</sup>	10 <sup>2</sup>
Typical service life (hr)	1000+	1500 +	30–100
Work function (eV)	2.70	2.65	4.5
Operating vacuum (torr)	10 <sup>-7</sup>	10 <sup>-7</sup>	10 <sup>-5</sup>
Operating Temperature (K)	1800	1800	2800
Current density at Operating Temperature (A/m <sup>2</sup> )	20 × 10 <sup>-4</sup> – 50 × 10 <sup>-4</sup>	20 × 10 <sup>-4</sup> – 50 × 10 <sup>-4</sup>	3 × 10 <sup>-4</sup> (at 2800 K)
Evaporation rate (g/m <sup>2</sup> -sec)	2.9 × 10 <sup>-13</sup>	2.1 × 10 <sup>-13</sup>	NA

properties of single crystal, pressed or sintered rod LaB<sub>6</sub> cathodes [5–7, 15–22]. In literature, lanthanum hexaboride thin films were grown by electrophoretic deposition [1, 11, 23], the evaporation [24], the magnetron sputtering [2, 3, 13, 25, 26], the electron beam deposition [27] and the pulsed laser deposition [8, 9, 28] in order to investigate their electron emission properties. Waldhauser found that among the rare earth elements, LaB<sub>6</sub> and CeB<sub>6</sub> are the most promising ones for utilization as coated cathodes but the highest electron emission was yielded from LaB<sub>6</sub> coated filament [26]. In addition, LaB<sub>6</sub> is very attractive for decorative hard coatings applied to luxury accessories due to its purple-red color spectrum and high hardness [12, 29, 30].

The first study to investigate the utilization of coated cathodes in electron microscopes was conducted by Khairnar and Mahajan [11]. In their study, LaB<sub>6</sub> was deposited on tantalum filament by electrophoretic deposition. The work functions were found between 2.6–2.8 eV. Before the deposition, the tantalum wire in hairpin shape was carburized to prevent diffusion of boron into the wire. It was found that LaB<sub>6</sub> coated tantalum filaments can be operated at 1873 K while uncoated tungsten filaments need a higher temperature than 3020 K at constant emission density. The lifetime of the filaments was found 55 h. Since the service life of the cathodes is affected by operating temperature, coated filaments were suggested. It was found that the service life of filaments is primarily determined by the adhesion of LaB<sub>6</sub> to the substrate.

However, the coatings produced by electrophoretic deposition were porous and poor in adhesion that results in fragile and undurable coating to thermal shocks. Besides, the preparation of that coatings is difficult because heat treatments are required before and after the deposition [13, 26].

Among the techniques mentioned above, the magnetron sputtering which is one of the physical vapor deposition (PVD) method, is used to deposit more dense, adhesive, and smoother films at low temperatures with ease of controlling the parameters [13, 31, 32]. The method is capable to produce thin films having the same functionality as thick films produced by other coating techniques [33–35]. In MS, the material called target, usually prepared by sintering or hot pressing, is deposited on the substrate as atomized particles to form a thin film in a gaseous plasma [36].

Since the adhesion of the LaB<sub>6</sub> thin films is one of the main factors that affect service life, Mroczkowski used radio frequency (RF) magnetron sputtering to deposit

adhesive LaB<sub>6</sub> thin films on tungsten. As a result, adherent, and stress-free thin films with 1 μm thickness were obtained. Work function and electron emission values were found to be consistent with results obtained from bulk LaB<sub>6</sub>. The lifetime measurements were carried out at 1200 K and measured as more than 1000 h. The work function of the films was between 2.4–2.6 eV. The surface of the films contained lanthanum oxide (La<sub>2</sub>O<sub>3</sub>) in addition to LaB<sub>6</sub> [13].

Kinbara et al. deposited LaB<sub>6</sub> films direct current magnetron sputtering (DCMS) on glass substrates to investigate the impact of argon pressure on the composition of the films. It was found that (100) plane films, which are preferred as electron emitters due to their low work function, can be obtained under 1 Pa argon pressure [26]. In the study conducted by Waldhauser et al., deposition parameters were optimized by using DCMS to coat the molybdenum and tungsten sheets. After determining the optimum parameters, the work function was found as 2.8 eV [3]. Waldhauser et al. grew LaB<sub>6</sub> thermionic coatings on molybdenum and tungsten substrates by MS. It was found that films were over stoichiometric. However, with increase in the argon pressure the ratio was approximated to stoichiometry [26]. Craciun et al. obtained boron deficiency, which may be caused by the mass difference between lanthanum and boron atoms [9]. It can be concluded that determining optimal coating parameters have a crucial impact on the morphology and structure of the films [37]. It was found that the service life of filaments is primarily determined by the adhesion of LaB<sub>6</sub> to the wire surface rather than the evaporation rate of lanthanum and boron [11]. Late et al. stated that good adhesion is required for durable electron emitters [28]. Thus, in this study, the adhesion of the LaB<sub>6</sub> films, which is deposited by MS determined using tape-test on the film surfaces. Then, the morphology, structure, and stoichiometry of tape-tested films were investigated in terms of stereo microscopy, scanning electron microscopy, and X-ray photoelectron spectroscopy.

## 2 Experimental Method

The films were sputtered on tungsten, molybdenum, and tantalum wire-based substrates by magnetron sputtering (MS) system existing in Gebze Technical University, Surface Physics Laboratory with using commercially available disc target composed of 99.9% LaB<sub>6</sub> (Diameter: 76 mm and Thickness: 10 mm). The MS system consists of BesTech deposition chamber with 6 Guns: 3 direct current (DC), 2 radio frequency (RF), and 1 DC-Pulse. In the study, the RF gun was used with 25 W to deposit the tungsten, molybdenum, and tantalum wires with a diameter of 1.1 mm, 1 mm and 0.7 mm, respectively and 1.2 mm long. Then, the substrates were subjected to the wire shaping process by cutting into two pieces transverse for obtaining a flat surface. After the wire shaping process, all substrates were placed into the holder (see Fig. 2) to form thin films under the same conditions simultaneously. Then the holder was placed into the magnetron sputtering system as the distance between the substrates and target material was 50 mm. Before the deposition, substrate surfaces were cleaned with 100 W in a vacuum environment to remove contaminations and oxides. The films were deposited directly, without using any intermediate layer, on the substrates that were kept at room temperature. Sputtering was carried out under the  $2 \times 10^{-3}$  mbar pressure and

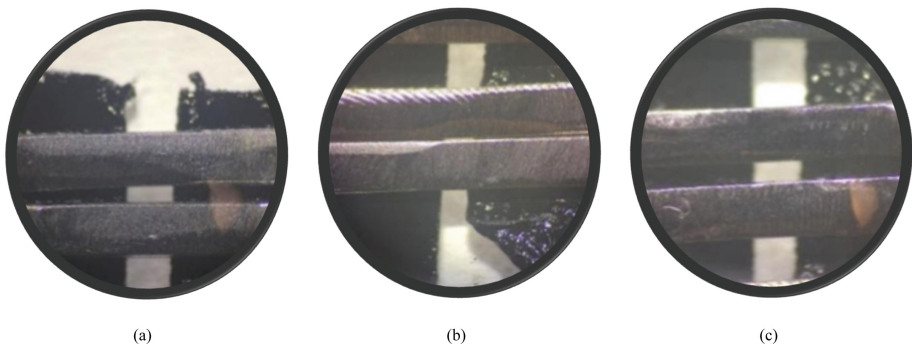
20 W sputtering power with maintaining high purity argon at a flow rate of 3 sccm. Since we have 3-in. guns and the thickness verifying was controlled by Quartz Crystal Microbalance (QCM) in situ, also the deposition ratio of guns calibrated by using XPS. Therefore, the thickness of the films was well-controlled magnetron sputtering and deposition was continued until 2.5 nm thickness was obtained.



**Fig. 2.** Schematic illustration of the holder developed

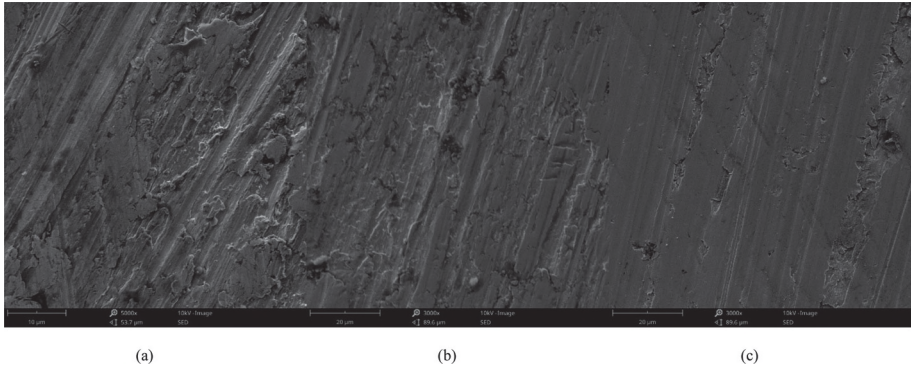
### 3 Results

In order to analyse the adhesion of the  $\text{LaB}_6$  films to the substrates, tape-test was applied on the film surfaces. Then, the morphology, structure and stoichiometry of tape-tested films were investigated in terms of stereo microscopy, SEM and XPS. The images obtained from the stereo microscopy are presented in Fig. 3. As shown in the figure below, there is no peeling or flaking observed after the tape test on the surface of the  $\text{LaB}_6$  coated molybdenum, tantalum and tungsten samples.

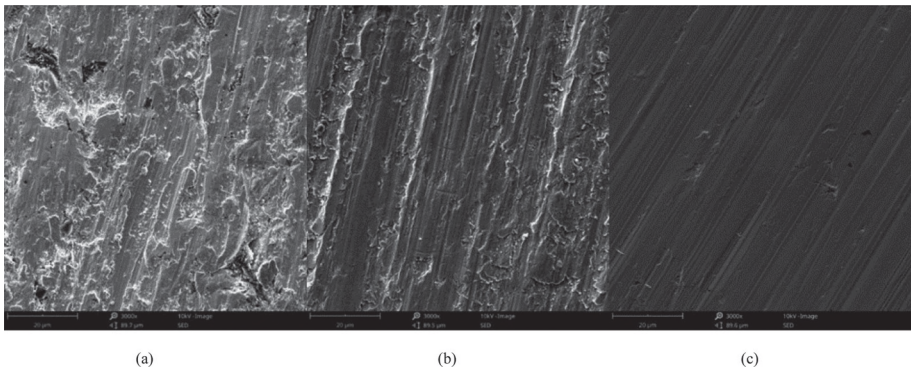


**Fig. 3.** Stereo microscopy image of tape tested  $\text{LaB}_6$  on (a) molybdenum (b) tantalum and (c) tungsten with 45X magnification factor

The morphology of the films was analysed by Phenom XL scanning electron microscopy. Figure 4 presents scanning electron micrograph of uncoated molybdenum, tantalum and tungsten with 3000X magnification factor. From the figure, it is apparent that films of  $\text{LaB}_6/\text{W}$  is smoother than those of  $\text{LaB}_6/\text{Mo}$  and  $\text{LaB}_6/\text{Ta}$ . Since the



**Fig. 4.** Scanning electron micrograph of uncoated surface of (a) molybdenum, (b) tantalum and (c) tungsten with 3000X magnification factor



**Fig. 5.** Scanning electron micrograph of tape tested  $\text{LaB}_6$  on (a) molybdenum, (b) tantalum and (c) tungsten with 3000X magnification factor

tungsten is the hardest among tantalum and molybdenum, this result is expected because of the wire shaping process. Figure 5 shows SEM image of  $\text{LaB}_6$  film deposited onto molybdenum, tantalum and tungsten, respectively. Film growth seems to be dense and shows a fine-columnar structure. It can be noted from the SEM analysis films produced by magnetron sputtering are dense and strongly adhered to the wires that will be used as a filament. Since the adhesion depends on the substrate characteristics as well as film properties, good adhesion of the films was partly provided by the cleaning process done before deposition [31].

The surface composition and elemental ratios of the  $\text{LaB}_6/\text{W}$  film was determined by X-ray photoelectron spectroscopy measurements were performed by using SPECA Phoibos 150 specs charged particle analyzer (Charge analyzer: 9 MDC- charge detectors) including x-ray gun XR-50 (Anode:Al  $K\alpha$ - 350 W), which exist in GTU Surface Physics Laboratory. The attenuation depth was 6 nm for the XPS. It can be

seen from the XPS scan in Fig. 6 the film was oxidized. No peaks of any element other than lanthanum, boron, and oxygen have been observed on the spectrum.

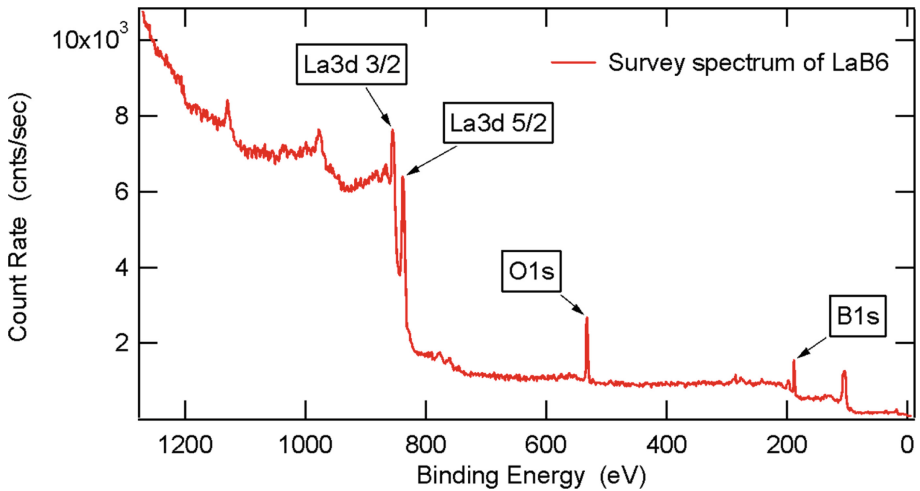


Fig. 6. X-ray photoelectron spectra of the LaB<sub>6</sub>/W film

XPS was performed for survey and elemental windows to calculate elemental stoichiometry of the LaB<sub>6</sub>/W film. The elemental ratios obtained from the XPS analysis are presented in Table 2. But the numbers on the table is taken from window scans of the elements. Also, we had three scans for one survey (with nine charge detectors), so it was enough for statistical calculation. From the X-ray photoelectron spectra, surface appearance of the LaB<sub>6</sub>/W film was found to be LaB<sub>x</sub>.

Table 2. Elemental ratio calculation of LaB<sub>6</sub> films

Peak Name	Peak Area (A)	ASF	A/ASF	%
O1s	1068.3	0.711	1502,53	28,55
B1s	482.8	0.159	3036,48	57,70
La3d	6602.9	9.122	723,84	13,75

## 4 Conclusion

The study aimed to perform the deposition of LaB<sub>6</sub> thin films on molybdenum, tantalum, and tungsten wires and investigation of their adhesion, morphology, and elemental ratio. In order to determine the adhesion of the LaB<sub>6</sub> films, tape-test was used on the film surfaces. Then, the morphology, structure, and stoichiometry of tape-tested films were investigated by stereo microscopy, SEM, and XPS. Stereo microscopy and SEM analyses showed that the films were grown by magnetron sputtering have dense

and fine columnar structure without peeling or flaking. In the XPS analysis of LaB<sub>6</sub>/W film, it was observed that the film was oxidized and there were no peaks of any element other than lanthanum, boron, and oxygen found. The analyses show that the composition of the film was LaB<sub>x</sub> coated over W substrate at the deposition conditions. According to characterization results, the deposition and characterization of LaB<sub>6</sub> films on the metallic substrates were completed. These are encouraging results for the lower cost filament application. This study serves as a base of future studies for the domestic production of thin-film thermionic emitters with high quality and low price. However, further experiments are required to produce high-quality films in the desired composition by changing the target composition and magnetron sputtering system parameters. For further studies, LaB<sub>6</sub> target will be segmented to include a boron content to have stoichiometric films. After determining the optimal parameters, electron emission properties, and service life measurements of the samples will be investigated to develop a full manufacturing process for thin-film filaments for electron microscopes.

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